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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): Parikka et. al.

SERIAL NO.: 09/473,765

ART UNIT: 2875

FILING DATE:

12/29/99

EXAMINER: Seber, T.

TITLE:

A BACKLIGHTING LIGHT PIPE FOR ILLUMINATING A FLAT-PANEL

DISPLAY

ATTORNEY

DOCKET NO.:

946-009122-US (PAR)

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(c)(1)/(e)(1))

Sir:

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. §1.97(c) before the mailing date of any of a final action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application.

This Information Disclosure Statement is accompanied by the statement as specified in 37 C.F.R. 1.97(e)(1), that each item of information contained in this information disclosure statement was first cited in any communication from the foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

The above-identified patent application has a counter part foreign patent application EP patent application No. 05007478.0-1234. Applicant's attorney encloses a copy of the Search Report

issued for the counter part foreign application. The Search Report and an opposer cite several references, listed below, which were provided with the Search Report and opposition, and are being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application.

EP 0 881 426 A1

GRATING COUPLER FOR EFFICIENT EXCITATION OF OPTICAL GUIDED WAVES

IN THIN FILMS

Copies of these references are enclosed together with a Form PTO-1449 for the Examiner's use.

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

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Page No.: 1 of: 1 INFORMATION DISCLOSURE Docket No.: 946-009122-US (PAR) Serial No.: 09/473,765 CITATION FORM FOR PATENT APPLICATION Applicant(s): Parikka et. al. (FORM PTO-1449) (Substitute) Filing Date: 12/29/99 Group: 2875 **U.S. PATENTS Initials** Patent Number Issue Date Name Class Sub-Filing date class U.S. PATENT PUBLICATIONS Initials Pub. Date Publication No. Name Class Sub-Filing Date class FOREIGN PATENT DOCUMENTS Initials Document Number Date Country Name Translation? Yes/No/n/a EP0 881426A1 2/12/98 **EPO OMRON CORPORATION** OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known) GRATING COUPLER FOR EFFICIENT EXCITATION OF OPTICAL GUIDED WAVES IN THIN FILMS, M.L. DAKSS ET. AL. Applied Physics Letters, Vol. 16, No. 12, 15 June 1970, pp. 523-525

Examiner's Signature:

Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).

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